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# Extensions of the Witt group and the moduli space of curves

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## INTRODUCTION

We will construct a formal scheme on groups  $G$  and a family of natural line bundles on it  $\{\Lambda_\beta\}$  satisfying:

$$\Lambda_\beta \simeq \Lambda_1^{(1-6\beta+6\beta^2)}$$

We will prove that these isomorphisms must be seen as a formal version of the Mumford isomorphisms.

This fact comes from the following property:  $G$  *uniformizes* (infinitesimally) the moduli space of curves.

## REFERENCES

**joint work with José M. Muñoz Porras**

- “Equations of the moduli space of pointed curves in the infinite Grassmannian”, J. Differ. Geom. **51** (1999), pp. 431–469
- “Automorphism group of  $k((t))$ : applications to the bosonic string”, Comm. Math. Phys. **216** no. 3 (2001), pp. 609–634.

## PLAN OF THE TALK

- Part I: Automorphism group of  $k((z))$ ,  $G$ .
  1. Definition.
  2. Lie algebra.
- Part II: Infinite Grassmannians.
  1. Definition.
  2.  $\mathrm{Gl}(V)$  and its extensions.
- Part III: Extensions of  $G$ .
  1. Construction.
  2. Formal Mumford formula.
- Part IV:  $G$  and the moduli of curves  $\mathcal{M}_{g,1}^\infty$ .
  1. Krichever morphism.
  2. Action of  $G$  on  $\mathcal{M}_{g,1}^\infty$ .
  3. Relation between Mumford formulae.

## I.1 Automorphism group of $k((z))$

We consider the functor on the category of  $k$ -schemes given by:

$$A \longrightarrow G(A) := \text{Aut}_{A\text{-alg}} A((z))$$

( $k$  algebraically closed of char. 0).

Observe that there is an inclusion:

$$\begin{aligned} G(A) &\hookrightarrow A((z)) \\ g &\mapsto g(z) \end{aligned}$$

**Theorem. (Characterization of  $G$ )**

$$G(A) = \left\{ \begin{array}{l} \sum a_i z^i \in A((z)) \text{ with } a_i \text{ nilpotent} \\ \text{for } i \leq 0 \text{ and } a_1 \text{ invertible} \end{array} \right\}$$

**Theorem.** *The functor  $G$  is representable by a formal  $k$ -scheme.*

It is a non-commutative group and of infinite dimension.

## I.2 Lie Algebra of $G$

Recall that  $\text{Lie}(G)$  is defined by:

$$\text{Lie}(G) = T_{\text{Id}}G = G(k[\epsilon]/\epsilon^2) \times_{G(k)} \{\text{Id}\}$$

Therefore, it has a basis:

$$\text{Lie}(G) = \langle \{L_n \mid n \in \mathbf{Z}\} \rangle$$

where  $L_n$  is the field associated to the automorphism:

$$z \longmapsto z(1 + \epsilon z^n)$$

One checks that:

$$[L_m, L_n] = (m - n)L_{m+n}$$

**Theorem.** *There is a canonical isomorphism of Lie algebras:*

$$\begin{aligned} \text{Lie}(G) &\xrightarrow{\sim} k((z)) \frac{\partial}{\partial z} \\ L_n &\longmapsto z^{n+1} \frac{\partial}{\partial z} \end{aligned}$$

*which is compatible with their natural actions on  $k((z))$ .*

In order to classify the extensions of  $G$  we will need the following:

**Theorem.**

$$\text{Ext}^1(G, k^*) \subseteq \text{Ext}^1(\text{Lie}(G), k) \simeq \text{Vir} \cdot k$$

where  $\text{Vir} := \langle \{d_n\}_n, c \rangle$  and  $[d_m, d_n] := (m - n)d_{m+n} + \delta_{m, -n} \frac{m^3 - m}{12} c$  and  $c$  is central.

## II.1. Infinite Grassmannians

Let  $V = k((z))$ ,  $V_+ = k[[z]]$ .

The **infinite Grassmannian** of  $(V, V_+)$ ,  $\text{Gr}(V)$ , is a  $k$ -scheme whose set of rational points is:

$$\text{Gr}(V) = \left\{ U \subset V \text{ such that the kernel and the cokernel of } U \rightarrow V/V_+ \text{ are both of finite dimension} \right\}$$

(It is a  $k$ -scheme of infinite type).

Recall the following very important result:

**Theorem. (Sato)** *The points of  $\text{Gr}(V)$  parametrize the set of solutions of the KP hierarchy.*

Its Picard group is isomorphic to  $\mathbf{Z}$  and it is generated by the determinant bundle:

$$\mathbf{D} := \text{Det}(\mathcal{U} \rightarrow V/V_+)$$

( $\mathcal{U}$  being the submodule of  $V \otimes \mathcal{O}_{\text{Gr}(V)}$  corresponding to the universal object).

Note that the fibre of  $\mathbf{D}$  at a rational point  $U$  is:

$$\wedge^{\max}(U \cap V_+) \otimes \wedge^{\max}(V/U + V_+)^*$$

## II.2 General linear group

$\mathrm{Gl}(V)$  will denote the functor from the category of  $k$ -schemes to the category of groups whose rational points are:

$$\left\{ \begin{array}{l} k\text{-linear automorphisms of } k((z)), \phi, \text{ such that} \\ \text{the following condition holds:} \\ \text{if } \dim(A + k[[z]])/A \cap k[[z]] < \infty, \\ \text{then } \dim(\phi(A) + k[[z]])/\phi(A) \cap k[[z]] < \infty \end{array} \right\}$$

**Theorem.** *The group functor  $\mathrm{Gl}(V)$  acts on  $\mathrm{Gr}(V)$ ; that is, for  $\phi \in \mathrm{Gl}(V)$  one has:*

$$\begin{aligned} \mathrm{Gr}(V) &\rightarrow \mathrm{Gr}(V) \\ U &\mapsto \phi(U) \end{aligned}$$

**Theorem.** *The group  $G$  is canonically a subgroup of  $\mathrm{Gl}(V)$ .*



### II.3 Central Extension of $\mathrm{Gl}(V)$

The following facts:

- $H^0(\mathrm{Gr}(V), \mathcal{O}) = k$
- the action preserves the determinant bundle:

$$\phi^* \mathbf{D} \simeq \mathbf{D}$$

allows us to consider a natural central extension of  $\mathrm{Gl}(V)$ :

$$0 \rightarrow k^* \rightarrow \widetilde{\mathrm{Gl}}(V) \rightarrow \mathrm{Gl}(V) \rightarrow 0$$

where  $\widetilde{\mathrm{Gl}}(V)$  is given by the following expression:

$$\widetilde{\mathrm{Gl}}(V) := \left\{ \begin{array}{ccc} \mathbf{D} & \xrightarrow[\sim]{\bar{g}} & \mathbf{D} \\ \downarrow & & \downarrow \\ \mathrm{Gr}(V) & \xrightarrow[\sim]{g} & \mathrm{Gr}(V) \end{array} \quad \begin{array}{l} \text{commutative} \\ \text{and } g \in \mathrm{Gl}(V) \end{array} \right\}$$

Considering  $k[\epsilon]/\epsilon^2$ -valued points we may compute the cocycle of the associated extension of Lie algebras.

For  $1 + \epsilon D_i \in \mathrm{Gl}(V)$  ( $i = 1, 2$ ) with decompositions:

$$D_i = \begin{pmatrix} D_i^{--} & D_i^{+-} \\ D_i^{-+} & D_i^{++} \end{pmatrix}$$

w.r.t. the splitting  $V = V_- \oplus V_+ = z^{-1}k[z^{-1}] \oplus k[[z]]$ , one gets:

$$c(D_1, D_2) = \mathrm{Tr}(D_1^{+-} D_2^{-+} - D_2^{+-} D_1^{-+})$$

### III.1 Central Extensions of $G$

Since  $G \subset \text{Gl}(V)$ , the central extension  $\widetilde{\text{Gl}}(V)$  gives rise to:

$$0 \rightarrow k^* \rightarrow \widetilde{G} \rightarrow G \rightarrow 0$$

and therefore:

$$0 \rightarrow k \rightarrow \text{Lie}(\widetilde{G}) \rightarrow \text{Lie}(G) \rightarrow 0$$

whose associated cocycle is computed from the previous formula:

$$c(L_m, L_n) = \delta_{m,-n} \left( \frac{m^3 - m}{6} \right)$$

This result shows that  $\widetilde{G}$  behaves like a “Virasoro group”.

### III.2 Mumford formula on $G$

For any  $\beta \in \mathbf{Z}$ , one consider the action of  $G$  on  $V_\beta := k((z))dz^{\otimes \beta}$ :

$$\begin{aligned} G \times k((z))dz^{\otimes \beta} &\rightarrow k((z))dz^{\otimes \beta} \\ (g, f(z)dz^{\otimes \beta}) &\mapsto f(g(z))(g'(z))^{\beta}dz^{\otimes \beta} \end{aligned}$$

Repeating the previous constructions for  $\mathrm{Gr}(V_\beta)$  and  $\mathrm{Gl}(V_\beta)$  one gets a central extension:

$$0 \rightarrow k^* \rightarrow \tilde{G}_\beta \rightarrow G \rightarrow 0$$

Let  $\Lambda_\beta$  be the invertible sheaf on  $G$  defined by  $\tilde{G}_\beta$ , then it holds:

**Theorem. (formal Mumford formula)**

$$\Lambda_\beta \simeq \Lambda_1^{\otimes (1-6\beta+6\beta^2)} \quad \forall \beta \in \mathbf{Z}$$

**Proof.**

- $\mathrm{Ext}^1(G, k^*) \subseteq \mathrm{Ext}^1(\mathrm{Lie}(G), k)$
- the cocycle of Lie algebras of  $\tilde{G}_\beta$  is:

$$c_\beta(L_m, L_n) = \delta_{m,-n} \left( \frac{m^3 - m}{6} \right) (1 - 6\beta + 6\beta^2)$$

## IV.1 Moduli of curves

Consider the scheme  $\mathcal{M}_{g,1}^\infty$  whose rational points are triples  $(C, p, z)$  where:

- $C$  is a complete and integral curve of arithmetic genus  $g$ .
- $p$  is a smooth point.
- $\phi$  is an isomorphism  $\hat{\mathcal{O}}_p \simeq k[[z]]$ .

The Krichever morphism is defined by:

$$\begin{aligned} K: \mathcal{M}_{g,1}^\infty &\longrightarrow \mathrm{Gr}^{1-g} V \\ (C, p, \phi) &\mapsto H^0(C - p, \mathcal{O}_C) \subset k((z)) \end{aligned}$$

### Theorem.

- *The Krichever morphism is a closed immersion.*
- *A point  $U \in \mathrm{Gr}(V)$  lies on  $\mathrm{Im}(K)$  if it is a subalgebra of  $k((z))$ ; that is, if and only if:*

$$\begin{cases} U \cdot U \subseteq U \\ k \subset U \end{cases}$$

## IV.2 $G$ acts on $\mathcal{M}_{g,1}^\infty$

Since the points of  $\mathcal{M}_{g,1}^\infty$  are those subspaces of  $k((z))$  which are subalgebras, it follows that there is an action:

$$G \times \mathcal{M}_{g,1}^\infty \longrightarrow \mathcal{M}_{g,1}^\infty$$

Then, for a rational point  $X := (C, p, \phi) \in \mathcal{M}_{g,1}^\infty$ , one gets:

$$p_X: G \times \{(C, p, \phi)\} \longrightarrow \mathcal{M}_{g,1}^\infty$$

Let  $\hat{\phantom{x}}$  the completion and let  $\hat{p}_X$  be the morphism:

$$\hat{p}_X: \hat{G} \times \{X\} \rightarrow (\mathcal{M}_{g,1}^\infty)_{\hat{X}} \rightarrow (\mathcal{M}_g)_{\hat{C}}$$

where  $\mathcal{M}_g$  is the moduli space of (complete and integral) curves of genus  $g$ .

**Theorem.** *The morphism  $\hat{p}_X$  is surjective for all  $X = (C, p, z)$ .*

### IV.3 Mumford formula

Then consider the line bundle on  $\mathcal{M}_g$ :

$$\lambda_\beta := \text{Det}(R^\bullet \pi_* \omega_{\mathcal{C}}^{\otimes \beta})$$

where  $\omega_{\mathcal{C}}$  is the sheaf of relative differentials of  $\pi : \mathcal{C} \rightarrow \mathcal{M}_g$  ( $\mathcal{C}$  being the universal curve).

**Theorem. (Mumford)**

$$\lambda_\beta \simeq \lambda_1^{\otimes (1-6\beta+6\beta^2)} \quad \forall \beta \in \mathbf{Z}$$

**Theorem.**

$$\Lambda_\beta|_{\widehat{G}} \simeq \widehat{p}_X^* \lambda_\beta$$

**Lemma.**

$$K_\beta^* \mathbf{D} \simeq p^* \lambda_\beta$$

where  $K_\beta$  is the following morphism:

$$\begin{aligned} K_\beta : \mathcal{M}_{g,1}^\infty &\hookrightarrow \text{Gr}(k((z))dz^{\otimes \beta}) \\ (C, p, \phi) &\mapsto H^0(C - p, \omega_C^{\otimes \beta}) \subset k((z))dz^{\otimes \beta} \end{aligned}$$